

EXTENDED TO NOVEMBER 15, 2017

Form **990****Return of Organization Exempt From Income Tax**

OMB No. 1545-0047

Department of the Treasury  
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**2016**  
Open to Public  
Inspection**A** For the 2016 calendar year, or tax year beginning

and ending

**B** Check if applicable:

- ☐ Address change  
☐ Name change  
☐ Initial return  
☐ Final return/terminated  
☐ Amended return  
☐ Application pending

**C** Name of organizationBARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH

Doing business as GOLDWATER INSTITUTE

Number and street (or P.O. box if mail is not delivered to street address) Room/suite  
500 EAST CORONADO ROADCity or town, state or province, country, and ZIP or foreign postal code  
PHOENIX, AZ 85004-1543**F** Name and address of principal officer: VICTOR RICHES

500 E CORONADO ROAD, PHOENIX, AZ 85004-1543

**D** Employer identification number

86-0597661

**E** Telephone number

602-462-5000

**G** Gross receipts \$ 6,749,380.**H(a)** Is this a group returnfor subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. (see instructions)

**H(c)** Group exemption number**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) ( ) (Insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: WWW.GOLDWATERINSTITUTE.ORG**K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other**L** Year of formation: 1988**M** State of legal domicile: AZ**Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: THE GOLDWATER INSTITUTE DRIVES RESULTS BY WORKING DAILY IN COURTS, LEGISLATURES, AND COMMUNITIES TO		
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	14	
	4	Number of independent voting members of the governing body (Part VI, line 1b)	12	
	5	Total number of individuals employed in calendar year 2016 (Part V, line 2a)	52	
	6	Total number of volunteers (estimate if necessary)	13	
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	0.	
7b	Net unrelated business taxable income from Form 990-T, line 34	0.		
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year: 4,318,258. Current Year: 6,089,299.	
	9	Program service revenue (Part VIII, line 2g)	46,441. 83,729.	
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	61,911. 7,769.	
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,626. 219,453.	
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	4,428,236. 6,400,250.	
	Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0. 0.
		14	Benefits paid to or for members (Part IX, column (A), line 4)	0. 0.
		15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	3,633,723. 3,367,164.
		16a	Professional fundraising fees (Part IX, column (A), line 11e)	98,541. 55,508.
		b	Total fundraising expenses (Part IX, column (D), line 25)	1,109,260.
17		Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	1,883,950. 2,081,839.	
18		Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	5,616,214. 5,504,511.	
19		Revenue less expenses. Subtract line 18 from line 12	-1,187,978. 895,739.	
Net Assets or Fund Balances		20	Total assets (Part X, line 16)	Beginning of Current Year: 5,069,202. End of Year: 5,918,202.
	21	Total liabilities (Part X, line 26)	1,112,453. 983,230.	
	22	Net assets or fund balances. Subtract line 21 from line 20	3,956,749. 4,934,972.	

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign  
Here

Signature of officer

Date

10.27.17

VICTOR RICHES, PRESIDENT/COO

Type or print name and title

Paid

Print/Type preparer's name

AMY A. O'LOUGHLIN

Preparer's signature

Date

10-25-17

Check if self-employed ☐

PTIN

200869687

Preparer

Firm's name CBIZ MHM, LLC

Firm's EIN 34-1884125

Use Only

Firm's address 3101 N. CENTRAL AVE., STE. 300  
PHOENIX, AZ 85012

Phone no. 602-264-6835

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

632001 11-11-16

LHA For Paperwork Reduction Act Notice, see the separate instructions.

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

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COPY

**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III ☒ X

1 Briefly describe the organization's mission:  
THROUGH RESEARCH, INVESTIGATIONS, STRATEGIC LITIGATION, TESTIMONY,  
ADVOCACY, AND EDUCATION, THE GOLDWATER INSTITUTE ADVANCES PUBLIC  
POLICY AND A RULE OF LAW UNDER WHICH INDIVIDUALS CAN SHAPE THEIR OWN  
DESTINIES AS FREE MEN AND WOMEN. WE HELP CITIZENS UNDERSTAND AND...

2 Did the organization undertake any significant program services during the year which were not listed on the  
prior Form 990 or 990-EZ? ☐ Yes ☒ No  
If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No  
If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses.  
Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and  
revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 2,452,921. including grants of \$ ) (Revenue \$ )  
POLICY ANALYSIS, RESEARCH AND EDUCATION, EXTERNAL AFFAIRS: THE  
GOLDWATER INSTITUTE CONDUCTS SCHOLARLY RESEARCH AND IN-DEPTH ANALYSIS  
OF STATE-LEVEL PUBLIC POLICY ISSUES AND COMMUNICATES THAT RESEARCH TO  
THE PUBLIC. OUR PRIMARY AREAS OF RESEARCH INCLUDE CONSTITUTIONAL LAW,  
EDUCATION REFORM, AND HEALTHCARE POLICY. IN 2016, WE PUBLISHED  
CORNERSTONE OF LIBERTY: PRIVATE PROPERTY RIGHTS IN 21ST CENTURY AMERICA  
THROUGH THE CATO INSTITUTE, DELIVERED MORE THAN 50 PUBLIC  
PRESENTATIONS, DISTRIBUTED 7 POLICY REPORTS, AND TESTIFIED REGULARLY  
BEFORE LEGISLATIVE COMMITTEES. IN 2016, COURTS AND STATE LEGISLATURES  
IMPLEMENTED 36 REFORMS INSPIRED BY GOLDWATER INSTITUTE WORK. THESE  
INCLUDE THE ADOPTION OF THE RIGHT TO TRY ACT BY 8 STATES, AND ARIZONA'S  
ADOPTION OF A CRITICAL PROPERTY RIGHTS LAW THAT ENSURES CITIES CANNOT

4b (Code: ) (Expenses \$ 1,113,428. including grants of \$ ) (Revenue \$ 83,729. )  
PUBLIC INTEREST LITIGATION: THE GOLDWATER INSTITUTE DEFENDS  
CONSTITUTIONAL RIGHTS ON BEHALF OF THE PUBLIC AND THOSE WHO WOULD NOT  
BE IN A POSITION TO SEEK JUSTICE WITHOUT ASSISTANCE OR EXPERTISE. WE  
SEEK TO SET LEGAL PRECEDENT TO PROTECT CONSTITUTIONAL RIGHTS,  
PARTICULARLY UNDER STATE CONSTITUTIONS BUT ALSO UNDER THE FEDERAL  
CONSTITUTION. THE GOLDWATER INSTITUTE'S ACTIVE CASELOAD AVERAGED 18,  
AND WE ADVANCED CUTTING-EDGE LEGAL SCHOLARSHIP IN THE COURTS BY FILING  
9 AMICUS CURIAE BRIEFS. SEE SCHEDULE O FOR CASE SUMMARIES.

4c (Code: ) (Expenses \$ 344,073. including grants of \$ ) (Revenue \$ )  
COMMUNICATIONS: IN 2016, THE GOLDWATER INSTITUTE AGAIN RAISED THE  
STANDARD FOR EDUCATING THE PUBLIC ABOUT POLICIES THAT DEFEND AND  
STRENGTHEN THE FREEDOMS GUARANTEED TO ALL AMERICANS BY THE FEDERAL AND  
50 STATE CONSTITUTIONS. THE INSTITUTE'S TOP LEGAL AND POLICY WORK WAS  
FEATURED IN MORE THAN 2,791 NATIONAL AND MAJOR MARKET RADIO BROADCASTS  
AND 3,406 TIMES ON TELEVISION. THE INSTITUTE'S PRESENCE IN PROMINENT  
NATIONAL MAGAZINES, NEWSPAPERS, AND MEDIA OUTLETS ALSO SOARED, WITH  
MORE THAN 11,038 ARTICLES ABOUT OUR TOP LEGAL AND POLICY WORK APPEARING  
IN ELECTRONIC AND PRINT PUBLICATIONS. DURING 2016, THE INSTITUTE  
RELEASED 7 POLICY RESEARCH REPORTS, 2 CUTTING-EDGE LIBERTY IN ACTION  
NATIONAL PUBLICATIONS, THE INSTITUTE'S ANNUAL REPORT, AND ELECTRONIC  
NEWSLETTERS.

4d Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$ ) (Revenue \$ )

4e Total program service expenses 3,910,422.

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	X	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>		X
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>		X
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	X	
13 Is the organization a school described in section 170(b)(1)(A)(iii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	X	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X

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**Part IV Checklist of Required Schedules** (continued)

	Yes	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II		X
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	X	
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	X	
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

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**Part V** Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	20	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	X
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	52	
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	X
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	X
b	If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	X
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	
8	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8	
9	<b>Sponsoring organizations maintaining donor advised funds.</b>		
a	Did the sponsoring organization make any taxable distributions under section 4966?	9a	
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b	
10	<b>Section 501(c)(7) organizations.</b> Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	<b>Section 501(c)(12) organizations.</b> Enter:		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
a	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c	Enter the amount of reserves on hand	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

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**Part VI Governance, Management, and Disclosure**

For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

☒ X**Section A. Governing Body and Management**

	1a	1b	14	12	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.						
b Enter the number of voting members included in line 1a, above, who are independent						
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?				2	X	
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?				3		X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?				4		X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?				5		X
6 Did the organization have members or stockholders?				6	X	
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?				7a	X	
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?				7b		X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:						
a The governing body?				8a	X	
b Each committee with authority to act on behalf of the governing body?				8b	X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O				9		X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	10a	10b	11a	12a	12b	12c	13	14	15a	15b	16a	16b	Yes	No
10a Did the organization have local chapters, branches, or affiliates?													X	
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?													X	
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?													X	
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.														
12a Did the organization have a written conflict of interest policy? If "No," go to line 13													X	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?													X	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done													X	
13 Did the organization have a written whistleblower policy?													X	
14 Did the organization have a written document retention and destruction policy?													X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?														
a The organization's CEO, Executive Director, or top management official													X	
b Other officers or key employees of the organization													X	
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).														
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?														X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?														

**Section C. Disclosure**

17 List the states with which a copy of this Form 990 is required to be filed **AL, AZ, AR, CA, CO, FL, HI, IL, KY, ME, MI, MS**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
☒ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records: **ROGER ZETAH - 602-462-5000**  
**500 E CORONADO ROAD, PHOENIX, AZ 85004-1543**

Form 990 (2016)

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**Check if Schedule O contains a response or note to any line in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) DARCY OLSEN CEO	40.00	X		X				362,581.	0.	10,226.
(2) ERIC CROWN CHAIRMAN	5.00	X		X				0.	0.	0.
(3) RENEE GILTNER TREASURER	3.00	X		X				0.	0.	0.
(4) BARRY GOLDWATER, JR DIRECTOR	4.00	X						0.	0.	0.
(5) RANDY P. KENDRICK DIRECTOR	0.50	X						0.	0.	0.
(6) NORMAN P. MCCLELLAND VICE CHAIRMAN	3.00	X		X				0.	0.	0.
(7) JOHN NORTON DIRECTOR	1.00	X						0.	0.	0.
(8) MARIAN COOK DIRECTOR	0.50	X						0.	0.	0.
(9) CHRISTOPHER GLEASON DIRECTOR	0.50	X						0.	0.	0.
(10) JIM CHAMBERLAIN DIRECTOR	3.00	X						0.	0.	0.
(11) DANIEL BROPHY DIRECTOR	1.00	X						0.	0.	0.
(12) F. PHILLIPS GILTNER VICE CHAIRMAN	3.00	X		X				0.	0.	0.
(13) CARL HELSTROM DIRECTOR	0.50	X						0.	0.	0.
(14) REBEKAH MERCER DIRECTOR	0.50	X						0.	0.	0.
(15) GROVER NORQUIST DIRECTOR	0.50	X						0.	0.	0.
(16) ROGER ZETAH CFO/SECRETARY	40.00			X				94,257.	0.	8,232.
(17) VICTOR RICHES PRESIDENT/COO	40.00			X				47,620.	0.	430.

**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) TIMOTHY SANDEFUR V.P. OF LITIGATION	40.00				X			178,128.	0.	5,096.
(19) MICHAEL HUNTER VP STATE AND FISCAL AFFAIRS	40.00					X		147,423.	0.	9,704.
(20) CHRISTINA SANDEFUR EXECUTIVE VP	40.00					X		137,185.	0.	6,388.
(21) JONATHAN RICHES DIR NATIONAL LITIGATION/GEN COUN	40.00					X		129,683.	0.	6,606.
(22) MICHAEL KELLEY VP OF COMMUNICATIONS	40.00					X		130,625.	0.	5,350.
(23) WILLIAM TEMPLAR VP FOUNDATIONS	40.00					X		117,613.	0.	6,429.
<b>1b Sub-total</b>								1,345,115.	0.	58,461.
<b>c Total from continuation sheets to Part VII, Section A</b>								0.	0.	0.
<b>d Total (add lines 1b and 1c)</b>								1,345,115.	0.	58,461.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **11**

- 3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3		X
4	X	
5		X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**



**Part VIII Statement of Revenue**Check if Schedule O contains a response or note to any line in this Part VIII ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts	1 a	Federated campaigns	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c	165,739.			
	d	Related organizations	1d				
	e	Government grants (contributions)	1e				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	5,923,560.			
	g	Noncash contributions included in lines 1a-1f \$		73,174.			
	h	Total. Add lines 1a-1f		6,089,299.			
Program Service Revenue	2 a	LITIGATION COST REIMB.	Business Code 900099	83,729.	83,729.		
	b						
	c						
	d						
	e						
	f	All other program service revenue					
	g	Total. Add lines 2a-2f		83,729.			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		40,494.			40,494.
	4	Income from investment of tax-exempt bond proceeds					
	5	Royalties					
	6 a	Gross rents	(i) Real (ii) Personal				
	b	Less: rental expenses					
	c	Rental income or (loss)					
	d	Net rental income or (loss)					
	7 a	Gross amount from sales of assets other than inventory	(i) Securities (ii) Other	157,600. 0.			
	b	Less: cost or other basis and sales expenses		157,600. 32,725.			
	c	Gain or (loss)		0. -32,725.			
	d	Net gain or (loss)		-32,725.			-32,725.
	8 a	Gross income from fundraising events (not including \$ 165,739. of contributions reported on line 1c). See Part IV, line 18	a	367,512.			
	b	Less: direct expenses	b	158,805.			
	c	Net income or (loss) from fundraising events		208,707.			208,707.
	9 a	Gross income from gaming activities. See Part IV, line 19	a				
	b	Less: direct expenses	b				
	c	Net income or (loss) from gaming activities					
10 a	Gross sales of inventory, less returns and allowances	a					
b	Less: cost of goods sold	b					
c	Net income or (loss) from sales of inventory						
Miscellaneous Revenue				Business Code			
11 a	OTHER INCOME	900099	10,746.			10,746.	
b							
c							
d	All other revenue						
e	Total. Add lines 11a-11d		10,746.				
12	Total revenue. See instructions.		6,400,250.	83,729.	0.	227,222.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21				
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	706,569.	579,603.	115,808.	11,158.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	2,266,978.	1,650,557.	62,605.	553,816.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	8,456.	7,109.		1,347.
9 Other employee benefits	186,627.	132,462.	21,280.	32,885.
10 Payroll taxes	198,534.	148,901.	11,912.	37,721.
11 Fees for services (non-employees):				
a Management				
b Legal	78,019.	46,981.	31,038.	
c Accounting	34,945.		34,945.	
d Lobbying	69,535.	69,535.		
e Professional fundraising services. See Part IV, line 17	55,508.			55,508.
f Investment management fees	6,579.		6,579.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	195,748.	66,781.	89,130.	19,837.
12 Advertising and promotion	259,149.	223,521.	3,811.	31,817.
13 Office expenses	301,696.	261,984.	13,123.	26,589.
14 Information technology	44,096.	38,745.	1,284.	4,067.
15 Royalties				
16 Occupancy	146,136.	109,602.	8,768.	27,766.
17 Travel	183,813.	115,412.	8,174.	60,227.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	31,159.	27,462.	2,646.	1,051.
20 Interest	28,968.		28,968.	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	250,974.	188,200.	15,094.	47,680.
23 Insurance	39,008.	31,596.	1,561.	5,851.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a FUNDRAISING EVENTS	132,213.			132,213.
b PRINTING	119,664.	100,399.	13,591.	5,674.
c DUES AND SUBSCRIPTIONS	80,829.	66,410.	3,044.	11,375.
d BANK/CREDIT CARD FEES	32,515.		2,832.	29,683.
e All other expenses	46,793.	25,162.	8,636.	12,995.
25 Total functional expenses. Add lines 1 through 24e	5,504,511.	3,910,422.	484,829.	1,109,260.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 96-2 (ASC 958-720)

**Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash - non-interest-bearing	1,780,767.	<b>1</b>	1,922,033.
	<b>2</b> Savings and temporary cash investments	27,179.	<b>2</b>	27,327.
	<b>3</b> Pledges and grants receivable, net	38,675.	<b>3</b>	334,721.
	<b>4</b> Accounts receivable, net	2,173.	<b>4</b>	17,623.
	<b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		<b>5</b>	
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		<b>6</b>	
	<b>7</b> Notes and loans receivable, net		<b>7</b>	
	<b>8</b> Inventories for sale or use		<b>8</b>	
	<b>9</b> Prepaid expenses and deferred charges	78,154.	<b>9</b>	104,890.
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	<b>10a</b> 4,124,549.		
	<b>b</b> Less: accumulated depreciation	<b>10b</b> 1,909,807.		
	<b>11</b> Investments - publicly traded securities	1,963,424.	<b>10c</b>	2,214,742.
	<b>12</b> Investments - other securities. See Part IV, line 11	1,037,174.	<b>11</b>	1,129,918.
	<b>13</b> Investments - program-related. See Part IV, line 11	141,656.	<b>12</b>	158,581.
	<b>14</b> Intangible assets		<b>13</b>	
<b>15</b> Other assets. See Part IV, line 11	0.	<b>14</b>		
<b>16</b> <b>Total assets.</b> Add lines 1 through 15 (must equal line 34)	5,069,202.	<b>15</b>	8,367.	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses	352,000.	<b>16</b>	5,918,202.
	<b>18</b> Grants payable		<b>17</b>	260,180.
	<b>19</b> Deferred revenue	0.	<b>18</b>	
	<b>20</b> Tax-exempt bond liabilities		<b>19</b>	4,727.
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D		<b>20</b>	
	<b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		<b>21</b>	
	<b>23</b> Secured mortgages and notes payable to unrelated third parties	760,453.	<b>22</b>	
	<b>24</b> Unsecured notes and loans payable to unrelated third parties		<b>23</b>	718,323.
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		<b>24</b>	
	<b>26</b> <b>Total liabilities.</b> Add lines 17 through 25	1,112,453.	<b>25</b>	
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.</b>			
	<b>27</b> Unrestricted net assets	1,986,631.	<b>26</b>	983,230.
	<b>28</b> Temporarily restricted net assets	1,105,763.	<b>27</b>	3,226,718.
	<b>29</b> Permanently restricted net assets	864,355.	<b>28</b>	843,899.
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.</b>			
	<b>30</b> Capital stock or trust principal, or current funds		<b>29</b>	864,355.
	<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund		<b>30</b>	
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds		<b>31</b>	
	<b>33</b> Total net assets or fund balances	3,956,749.	<b>32</b>	
	<b>34</b> Total liabilities and net assets/fund balances	5,069,202.	<b>33</b>	4,934,972.
		<b>34</b>	5,918,202.	

Form 990 (2016)

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	6,400,250.
2	Total expenses (must equal Part IX, column (A), line 25)	2	5,504,511.
3	Revenue less expenses. Subtract line 2 from line 1	3	895,739.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	3,956,749.
5	Net unrealized gains (losses) on investments	5	82,484.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	4,934,972.

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

Form 990 (2016)



**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

**Open to Public Inspection**

Name of the organization **BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH**

Employer identification number  
**86-0597661**

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: \_\_\_\_\_
- 10 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations: \_\_\_\_\_

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
<b>Total</b>						

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. 532021 09-21-16 Schedule A (Form 990 or 990-EZ) 2016

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	3,753,262.	4,196,797.	4,739,590.	4,318,258.	6,089,299.	23,097,206.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 <b>Total.</b> Add lines 1 through 3	3,753,262.	4,196,797.	4,739,590.	4,318,258.	6,089,299.	23,097,206.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						2,356,910.
6 <b>Public support.</b> Subtract line 5 from line 4.						20,740,296.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
7 Amounts from line 4	3,753,262.	4,196,797.	4,739,590.	4,318,258.	6,089,299.	23,097,206.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	70,525.	80,755.	83,838.	61,911.	40,494.	337,523.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	7,168.	7,339.	12,729.	1,626.	10,746.	39,608.
11 <b>Total support.</b> Add lines 7 through 10						23,474,337.
12 Gross receipts from related activities, etc. (see instructions)					12	1,172,753.

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))	14	88.35 %
15 Public support percentage from 2015 Schedule A, Part II, line 14	15	87.48 %
16a <b>33 1/3% support test - 2016.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization <input checked="" type="checkbox"/>		
b <b>33 1/3% support test - 2015.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization <input type="checkbox"/>		
17a <b>10% -facts-and-circumstances test - 2016.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b <b>10% -facts-and-circumstances test - 2015.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
18 <b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions <input type="checkbox"/>		

Schedule A (Form 990 or 990-EZ) 2016

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ☐

**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2015 Schedule A, Part III, line 15	16	%

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2015 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2016. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

b 33 1/3% support tests - 2015. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.
- b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.
- 4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.
- b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- b **Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c **Substitutions only.** Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.
- b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI.
- c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.
- 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.
- b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

	Yes	No
1		
2		
3a		
3b		
3c		
4a		
4b		
4c		
5a		
5b		
5c		
6		
7		
8		
9a		
9b		
9c		
10a		
10b		



**Part IV Supporting Organizations** (continued)

**11** Has the organization accepted a gift or contribution from any of the following persons?

**a** A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?

**b** A family member of a person described in (a) above?

**c** A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.

	Yes	No
11a		
11b		
11c		

**Section B. Type I Supporting Organizations**

**1** Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.

**2** Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.

	Yes	No
1		
2		

**Section C. Type II Supporting Organizations**

**1** Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).

	Yes	No
1		

**Section D. All Type III Supporting Organizations**

**1** Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?

**2** Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).

**3** By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.

	Yes	No
1		
2		
3		

**Section E. Type III Functionally Integrated Supporting Organizations**

**1** Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).

**a** ☐ The organization satisfied the Activities Test. Complete line 2 below.

**b** ☐ The organization is the parent of each of its supported organizations. Complete line 3 below.

**c** ☐ The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).

**2** Activities Test. Answer (a) and (b) below.

**a** Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.

**b** Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.

**3** Parent of Supported Organizations. Answer (a) and (b) below.

**a** Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.

**b** Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.

	Yes	No
2a		
2b		
3a		
3b		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI.) **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).		

**Part V** Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions	
7 <b>Total annual distributions.</b> Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required; explain in Part VI). See instructions			
3 Excess distributions carryover, if any, to 2016:			
a			
b			
c From 2013			
d From 2014			
e From 2015			
f <b>Total</b> of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2016 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions			
6 Remaining underdistributions for 2016. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions			
7 <b>Excess distributions carryover to 2017.</b> Add lines 3j and 4c			
8 Breakdown of line 7:			
a			
b Excess from 2013			
c Excess from 2014			
d Excess from 2015			
e Excess from 2016			

Schedule A (Form 990 or 990-EZ) 2016

**Part VI**

**Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

## SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME:

## OTHER INCOME

2012 AMOUNT: \$ 7,168.

2013 AMOUNT: \$ 7,339.

2014 AMOUNT: \$ 12,729.

2015 AMOUNT: \$ 1,626.

2016 AMOUNT: \$ 10,746.



**SCHEDULE C**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527  
▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at** [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

**Open to Public Inspection**

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization **BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH**

Employer identification number  
**85-0597661**

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.

2 Political campaign activity expenditures ▶ \$

3 Volunteer hours for political campaign activities

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$

4 Did the filing organization file Form 1120-POL for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2016

LHA

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**Part II-A** Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B Check ☐ if the filing organization checked box A and "limited control" provisions apply.

**Limits on Lobbying Expenditures**  
 (The term "expenditures" means amounts paid or incurred.)

(a) Filing organization's totals	(b) Affiliated group totals
----------------------------------	-----------------------------

1a Total lobbying expenditures to influence public opinion (grass roots lobbying)	8,794.												
b Total lobbying expenditures to influence a legislative body (direct lobbying)	60,741.												
c Total lobbying expenditures (add lines 1a and 1b)	69,535.												
d Other exempt purpose expenditures	5,447,823.												
e Total exempt purpose expenditures (add lines 1c and 1d)	5,517,358.												
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.	425,868.												
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e.</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000.</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000.</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000.</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000.</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:												
Not over \$500,000	20% of the amount on line 1e.												
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.												
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.												
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.												
Over \$17,000,000	\$1,000,000.												
g Grassroots nontaxable amount (enter 25% of line 1f)	106,467.												
h Subtract line 1g from line 1a. If zero or less, enter -0-	0.												
i Subtract line 1f from line 1c. If zero or less, enter -0-	0.												

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?

☐ Yes ☐ No

**4-Year Averaging Period Under section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

**Lobbying Expenditures During 4-Year Averaging Period**

Calendar year (or fiscal year beginning in)	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) Total
2a Lobbying nontaxable amount	362,210.	416,915.	430,811.	425,868.	1,635,804.
b Lobbying ceiling amount (150% of line 2a, column (e))					2,453,706.
c Total lobbying expenditures	26,619.	134,447.	50,057.	69,535.	280,658.
d Grassroots nontaxable amount	90,553.	104,229.	107,703.	106,467.	408,952.
e Grassroots ceiling amount (150% of line 2d, column (e))					613,428.
f Grassroots lobbying expenditures	1,480.	72.	141.	8,794.	10,487.

Schedule C (Form 990 or 990-EZ) 2016



**SCHEDULE D**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
▶ Attach to Form 990.

OMB No. 1545-0047

**2016**  
**Open to Public**  
**Inspection**

▶ Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization **BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH**

Employer identification number  
**86-0597661**

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

**Part II Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of a historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1	▶ \$
(ii) Assets included in Form 990, Part X	▶ \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1	▶ \$
b Assets included in Form 990, Part X	▶ \$

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Schedule D (Form 990) 2016

632051 08-29-16



**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a ☐ Public exhibition  
b ☐ Scholarly research  
c ☐ Preservation for future generations  
d ☐ Loan or exchange programs  
e ☐ Other \_\_\_\_\_

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
1c Beginning balance	
1d Additions during the year	
1e Distributions during the year	
1f Ending balance	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐

**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	879,429.	929,460.	994,612.	894,664.	813,775.
b Contributions					
c Net investment earnings, gains, and losses	83,618.	-50,031.	34,848.	99,948.	80,889.
d Grants or scholarships					
e Other expenditures for facilities and programs			100,000.		
f Administrative expenses					
g End of year balance	963,047.	879,429.	929,460.	994,612.	894,664.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment ☐ .00 %  
b Permanent endowment ☐ 90.00 %  
c Temporarily restricted endowment ☐ 10.00 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations  
(ii) related organizations

	Yes	No
3a(i)		X
3a(ii)		X
3b		

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		358,480.		358,480.
b Buildings		2,401,548.	825,343.	1,576,205.
c Leasehold improvements				
d Equipment		1,364,521.	1,084,464.	280,057.
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				2,214,742.

Schedule D (Form 990) 2016

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		

Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		

Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	

Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	

Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	6,495,581.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains (losses) on investments	2a	82,484.
b	Donated services and use of facilities	2b	19,426.
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	101,910.
3	Subtract line 2e from line 1	3	6,393,671.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	6,579.
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	6,579.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	6,400,250.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	5,517,358.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	19,426.
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	19,426.
3	Subtract line 2e from line 1	3	5,497,932.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	6,579.
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	6,579.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	5,504,511.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART V, LINE 4:**

THE ENDOWMENT FUNDS ARE USED TO SUPPORT THE DOROTHY D. AND JOSEPH A.

MOLLER CENTER FOR CONSTITUTIONAL GOVERNMENT WHICH STUDIES A VARIETY OF

ISSUES INCLUDING PROPERTY RIGHTS, CAMPAIGN FINANCE REGULATION, REGULATORY

BODIES, LEGISLATIVE TERMS, BALANCE OF POWER AMONG LEVELS OF GOVERNMENT,

PROCESSES OF JUDICIAL APPOINTMENT AND STATE SOVEREIGNTY. THE FUNDS ALSO

SUPPORT TWO FELLOWSHIPS THAT GIVE EXCEPTIONAL STUDENTS THE OPPORTUNITY TO

SPEND A SEMESTER WORKING ON ECONOMIC POLICY AND LAW AT THE INSTITUTE AND

TO BE GROOMED FOR A LEADERSHIP ROLE IN THE ONGOING QUEST FOR FREEDOM.

**PART X, LINE 2:**

THE INSTITUTE EVALUATES ITS UNCERTAIN TAX POSITIONS, IF ANY, ON A

**Part XIII** Supplemental Information *(continued)*

CONTINUAL BASIS THROUGH REVIEW OF ITS POLICIES AND PROCEDURES, REVIEW OF

ITS REGULAR TAX FILINGS, AND DISCUSSIONS WITH OUTSIDE EXPERTS, AT DECEMBER

31, 2016 AND 2015, MANAGEMENT BELIEVES THE INSTITUTE DID NOT HAVE ANY

UNCERTAIN TAX POSITIONS.



Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

▶ **Attach to Form 990 or Form 990-EZ.**

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

DME No. 1545-0017

2016

**Open to Public Inspection**

Name of the organization BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH

Employer identification number  
86-0597661

## Part I

**Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a ☒ Mail solicitations
- b ☒ Internet and email solicitations
- c ☒ Phone solicitations
- d ☒ In-person solicitations
- e ☒ Solicitation of non-government grants
- f ☐ Solicitation of government grants
- g ☒ Special fundraising events

- 2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☒ Yes☐ No

- b. If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

- AL, AK, AZ, AR, CA, CO, CT, DE, FL, GA, HI, ID, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO  
MT, NE, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY  
DC

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.  
SEE PART IV FOR CONTINUATIONS

Schedule G (Form 990 or 990-EZ) 2016

**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events NONE	(d) Total events (add col. (a) through col. (c))
		ANNUAL DINNER (event type)	(event type)	(total number)	
Revenue	1 Gross receipts	533,251.			533,251.
	2 Less: Contributions	165,739.			165,739.
	3 Gross income (line 1 minus line 2)	367,512.			367,512.
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages	158,805.			158,805.
	8 Entertainment				
	9 Other direct expenses				
	10 Direct expense summary. Add lines 4 through 9 in column (d)				158,805.
	11 Net income summary. Subtract line 10 from line 3, column (d)				208,707.

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
7 Direct expense summary. Add lines 2 through 5 in column (d)					
8 Net gaming income summary. Subtract line 7 from line 1, column (d)					

9 Enter the state(s) in which the organization conducts gaming activities:

a Is the organization licensed to conduct gaming activities in each of these states?

☐ Yes ☐ No

b If "No," explain:

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year?

☐ Yes ☐ No

b If "Yes," explain:

## BARRY GOLDWATER INSTITUTE FOR

Schedule G (Form 990 or 990-EZ) 2016 PUBLIC POLICY RESEARCH

86-0597661

Page 3

- 11 Does the organization conduct gaming activities with nonmembers? ☐ Yes ☐ No
- 12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No
- 13 Indicate the percentage of gaming activity conducted in:
- |                               |     |   |
|-------------------------------|-----|---|
| a The organization's facility | 13a | % |
| b An outside facility         | 13b | % |
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_

c If "Yes," enter name and address of the third party:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

## 16 Gaming manager information:

Name ▶ \_\_\_\_\_

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ \_\_\_\_\_

☐ Director/officer
 ☐ Employee
 ☐ Independent contractor

## 17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV** Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions

<b>Part IV</b>	<b>Supplemental Information</b> (continued)
----------------	---



**SCHEDULE J  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest  
Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

Open to Public  
Inspection

Name of the organization

BARRY GOLDWATER INSTITUTE FOR

PUBLIC POLICY RESEARCH

Employer identification number

86-0597661

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

☐ First-class or charter travel

☐ Travel for companions

☐ Tax indemnification and gross-up payments

☐ Discretionary spending account

☐ Housing allowance or residence for personal use

☐ Payments for business use of personal residence

☐ Health or social club dues or initiation fees

☐ Personal services (such as, maid, chauffeur, chef)

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

☐ Compensation committee

☐ Independent compensation consultant

☒ Form 990 of other organizations

☐ Written employment contract

☐ Compensation survey or study

☒ Approval by the board or compensation committee

**4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

**a** Receive a severance payment or change-of-control payment?

**b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?

**c** Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

**a** The organization?

**b** Any related organization?

If "Yes" on line 5a or 5b, describe in Part III.

**6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

**a** The organization?

**b** Any related organization?

If "Yes" on line 6a or 6b, describe in Part III.

**7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III

**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

**9** If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Yes No

1b

2

4a

4b

4c

5a

5b

6a

6b

7

8

9

X

X

X

X

X

X

X

X

X

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2016

## Page 2

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

8/3/2012 09:09:16

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

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(Form 990 or 990-EZ)

► Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.

OMB No. 1545-0047

2016

### Open To Public Inspection

Department of the Treasury  
Internal Revenue Service

► Information about Schedule L (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

Name of the organization	BARRY GOLDWATER INSTITUTE FOR PUBLIC POLICY RESEARCH
--------------------------	---

Employer identification number  
86-0597661

<b>Part I</b>	<b>Excess Benefit Transactions</b> (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only).	59
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Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

[illegible]

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958

**3** Enter the amount of tax, if any, on line 2, above, reimbursed by the organization

Part II	Loans to and/or From Interested Persons.
---------	--

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22.

[illegible]

Total		\$
-------	--	----

Part III	Grants or Assistance Benefiting Interested Persons.
----------	---

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

[illegible]

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule L (Form 990 or 990-EZ) 2016



**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
JIM CHAMBERLAIN	DIRECTOR	620,000.	THE INSTITU		X

**Part V Supplemental Information.**

Provide additional information for responses to questions on Schedule L (see instructions).

SCH L, PART IV, BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS:

(A) NAME OF PERSON: JIM CHAMBERLAIN

(D) DESCRIPTION OF TRANSACTION: THE INSTITUTE ENTERED INTO A

CONSTRUCTION CONTRACT FOR BUILDING RENOVATIONS WITH A COMPANY THAT IS

OWNED BY A MEMBER OF THE INSTITUTE'S BOARD OF DIRECTORS.

**SCHEDULE M  
(Form 990)**

**Noncash Contributions**

OMB No. 1545-0047

**2016**

Open To Public  
Inspection

Department of the Treasury  
Internal Revenue Service

▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

▶ Attach to Form 990.

▶ Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization **BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH**

Employer identification number  
**86-0597661**

**Part I Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art	X	4	8,367.	FAIR MARKET VALUE
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	10	64,807.	FAIR MARKET VALUE
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ ( )				
26 Other ▶ ( )				
27 Other ▶ ( )				
28 Other ▶ ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions  
for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

0

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it  
must hold for at least three years from the date of the initial contribution, and which isn't required to be used for  
exempt purposes for the entire holding period?

	Yes	No
30a		X
31	X	
32a		X
33		

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash  
contributions?

b If "Yes," describe in Part II.

33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked,  
describe in Part II.

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990.

Schedule M (Form 990) (2016)

**Part II**

**Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

Open to Public  
Inspection

Name of the organization

BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH

Employer identification number  
86-0597661

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

DEFEND AND STRENGTHEN THE FREEDOM GUARANTEED TO ALL AMERICANS IN THE  
CONSTITUTIONS OF THE UNITED STATES AND ALL FIFTY STATES.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THROUGH RESEARCH, INVESTIGATIONS, STRATEGIC LITIGATION, TESTIMONY,  
ADVOCACY, AND EDUCATION, THE GOLDWATER INSTITUTE ADVANCES PUBLIC POLICY  
AND A RULE OF LAW UNDER WHICH INDIVIDUALS CAN SHAPE THEIR OWN DESTINIES  
AS FREE MEN AND WOMEN. WE HELP CITIZENS UNDERSTAND AND ADOPT POLICIES  
THAT SUSTAIN AND RESTORE ECONOMIC LIBERTY, EDUCATIONAL FREEDOM,  
PERSONAL RESPONSIBILITY, AND CONSTITUTIONAL LIMITS ON GOVERNMENT POWER  
CONSISTENT WITH THE FOUNDING PRINCIPLES OF OUR CONSTITUTIONAL REPUBLIC.  
WHEN GOVERNMENT OVERSTEPS ITS PROPER BOUNDS, THE GOLDWATER INSTITUTE  
USES PUBLIC INTEREST LITIGATION TO ENFORCE INDIVIDUAL RIGHTS AND  
CONSTRAINTS ON GOVERNMENT POWER GUARANTEED BY OUR STATE AND FEDERAL  
CONSTITUTIONS.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

BAN SHORT-TERM RENTALS OR REGULATE THEM IN A WAY THAT DOES NOT DIRECTLY  
TARGET PUBLIC HEALTH AND SAFETY. THIS WORK WAS SUPPORTED IN PART  
THROUGH OUR RONALD REAGAN FELLOWS PROGRAM, WHICH DEVELOPS YOUNG TALENT  
BY TEACHING AND EMPLOYING 27 INTERNS AND LAW CLERKS.

FORM 990, PART III, LINE 4B: CASE UPDATE

1A AUTO, INC. V. SULLIVAN

MASSACHUSETTS LAW PROHIBITS BUSINESSES - BUT NOT UNIONS OR OTHER GROUPS

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2016)

632211 08-25-16



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- FROM CONTRIBUTING TO POLITICAL PARTIES, COMMITTEES, OR CANDIDATES. WE

FILED A LAWSUIT TO OVERTURN THIS BAN. WE REPRESENT TWO MASSACHUSETTS

SMALL BUSINESSES: AN AUTO PARTS RETAILER IN PEPPERELL, 1A AUTO INC.,

AND A SMALL SELF-STORAGE FACILITY IN ASHLAND, 126 SELF STORAGE INC. A

VICTORY WOULD LEVEL THE PLAYING FIELD IN ELECTIONS AND SAFEGUARD

CONSTITUTIONAL GUARANTEES OF EQUAL PROTECTION, FREE SPEECH, AND FREE

ASSOCIATION. NO ATTORNEY FEES HAVE BEEN AWARDED SO FAR (BUT THEY WERE

REQUESTED IN THE COMPLAINT).

BIGGS V. BREWER

ON BEHALF OF 36 STATE LEGISLATORS, THE GOLDWATER INSTITUTE FILED A

LAWSUIT CHALLENGING A NEW MEDICAID EXPANSION TAX, WHICH BECAME LAW

WITHOUT APPROVAL OF A CONSTITUTIONALLY REQUIRED SUPERMAJORITY,

NULLIFYING THE VOTES OF THOSE LEGISLATORS WHO OPPOSED IT. THE STATE

TRIAL COURT DISMISSED THE LAWSUIT WITHOUT REACHING THE ISSUE OF WHETHER

THE TAX WAS CONSTITUTIONAL, HOLDING THAT THE PLAINTIFFS DID NOT HAVE

STANDING TO SUE. THE ARIZONA COURT OF APPEALS AND ARIZONA SUPREME

COURT BOTH UNANIMOUSLY REVERSED THAT DECISION, HOLDING THAT A BARE

MAJORITY OF LEGISLATORS CANNOT VOTE TO CIRCUMVENT A CONSTITUTIONAL

SUPERMAJORITY REQUIREMENT AND THAT THE LEGISLATORS WHOSE VOTES WERE

NULLIFIED COULD SUE. THE TRIAL COURT RULED THAT THE TAX WAS

CONSTITUTIONAL, AND WE HAVE APPEALED THE CASE TO THE ARIZONA COURT OF

APPEALS. NO ATTORNEY FEES HAVE BEEN AWARDED SO FAR (BUT THEY WERE

REQUESTED IN THE COMPLAINT).

CARTER V. WASHBURN

UNDER STATE AND FEDERAL LAW, CHILDREN WITH INDIAN ANCESTRY WHO END UP

IN STATE PROTECTIVE CUSTODY ARE TREATED NOT IN ACCORD WITH THEIR BEST

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INTERESTS BUT GIVEN SEPARATE, SUBSTANDARD TREATMENT SOLELY BECAUSE OF  
THEIR RACE. OUR CONSTITUTIONAL CHALLENGE TO THAT ACT CONTINUES ON MANY  
FRONTS. IN JULY 2015, WE FILED A COMPREHENSIVE CLASS ACTION LAWSUIT IN  
FEDERAL COURT CHALLENGING SEVERAL PROVISIONS OF THIS DISCRIMINATORY  
ACT. WE REPRESENT ABUSED, NEGLECTED, AND ABANDONED OFF-RESERVATION  
CHILDREN WITH INDIAN ANCESTRY WHO, THROUGH NO FAULT OF THEIR OWN, END  
UP IN STATE PROTECTIVE CUSTODY. THE DISTRICT COURT DISMISSED THE CASE  
IN MARCH, 2017, AND WE WILL FILE OUR APPEAL SOON. NO ATTORNEY FEES HAVE  
BEEN AWARDED SO FAR (BUT THEY WERE REQUESTED IN THE COMPLAINT).

CHEATHAM V. DICICCID

ON BEHALF OF TAXPAYERS, THE GOLDWATER INSTITUTE SUED THE CITY OF  
PHOENIX TO ENJOIN ITS CONTRACT WITH THE PHOENIX LAW ENFORCEMENT  
ASSOCIATION. THE CONTRACT PERMITS SIX OFFICERS TO WORK FOR THE UNION  
FULL TIME WITHOUT LOSS OF PAY. IT PERMITS MANY OTHER OFFICERS TO DO SO  
ON A PART-TIME BASIS. THE INSTITUTE'S LAWSUIT SOUGHT TO ENJOIN THIS  
PRACTICE ON THE THEORY THAT IT IS A GIFT TO A PRIVATE ASSOCIATION, IN  
VIOLATION OF THE ARIZONA CONSTITUTION'S GIFT CLAUSE. THE PRACTICE WAS  
RULED UNCONSTITUTIONAL BY THE TRIAL COURT AND THE COURT OF APPEALS. THE  
ARIZONA SUPREME COURT REVERSED AND UPHOLD THE PRACTICE. THE CASE HAS  
THEREFORE CONCLUDED.

COVERS PLUS, ET AL. V. CITY OF CHANDLER

REPRESENTING BUSINESS AND PROPERTY OWNERS, WE HAVE SUED THE CITY OF  
CHANDLER, ARIZONA, OVER ITS ORDINANCE RESTRICTING BUSINESS SIGNS, WHICH  
WE CONTEND ARE UNCONSTITUTIONAL. THE ORDINANCE IMPOSES A VARIETY OF  
DIFFERENT SIZE AND LOCATION LIMITATIONS ON SIGNS, BASED ON THE MESSAGES  
THE SIGNS CONVEY, WHICH VIOLATES THE FIRST AMENDMENT. THE CASE HAS BEEN

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STAYED WHILE THE CITY CONSIDERS AMENDMENTS TO ITS SIGN CODE, WHICH  
MIGHT RENDER THE CASE MOOT. THE COMPLAINT PROVIDES THAT WE WILL SEEK  
ATTORNEY FEES, BUT HAVE NOT REACHED THAT STAGE OF LITIGATION.

ENERGY & ENVIRONMENT LEGAL INSTITUTE V. ABOR

ON DECEMBER 7, 2011, THE ENERGY AND ENVIRONMENTAL LEGAL INSTITUTE, A  
NON-PROFIT RESEARCH AND PUBLIC POLICY ORGANIZATION, REQUESTED A SERIES  
OF E-MAILS TO AND FROM PROFESSORS AT THE UNIVERSITY OF ARIZONA AND  
OTHER UNIVERSITIES REQUESTING INFORMATION PERTAINING TO CLIMATE  
RESEARCH. THE UNIVERSITY OF ARIZONA AND ITS PERSONNEL REFUSED TO  
PROVIDE SEVERAL REQUESTED RECORDS, CLAIMING THOSE RECORDS WERE EXEMPT  
UNDER ARIZONA'S PUBLIC RECORDS LAWS. EELI THEN FILED A SPECIAL ACTION  
AGAINST THE ARIZONA BOARD OF REGENTS TO COMPEL DISCLOSURE OF THE  
REQUESTED RECORDS. THE GOLDWATER INSTITUTE IS SERVING AS LOCAL COUNSEL  
IN THIS CASE. WE WERE SUCCESSFUL AT ESTABLISHING A ROBUST STANDARD OF  
REVIEW AT THE APPELLATE LEVEL. ON REMAND, WE WON IN THE TRIAL COURT  
WHEN THE COURT EVALUATED UNDER THE NEW STANDARD OF REVIEW. AN  
APPLICATION FOR ATTORNEYS' FEES IS CURRENTLY PENDING IN PIMA COUNTY  
SUPERIOR COURT. IT IS ANTICIPATED THE COURT WILL GRANT FEES TO EELI  
LEGAL. THE GOLDWATER INSTITUTE HAS CLAIMED FEES AMOUNTING TO \$5,699.70.

FLECK V. SBAND

ATTORNEY ARNOLD FLECK STRONGLY SUPPORTED A BALLOT INITIATIVE ON THE  
NORTH DAKOTA BALLOT, AND DONATED MONEY TO THE CAMPAIGN, ONLY TO LEARN  
IN THE WEEKS LEADING UP TO THE VOTE, THAT - THROUGH DUES PAID TO  
MAINTAIN HIS MANDATORY BAR MEMBERSHIP - THE STATE BAR OF NORTH DAKOTA  
HAD CONTRIBUTED \$50,000 TO A PAC OPPOSING THE MEASURE, REPRESENTING  
FLECK. WE FILED SUIT TO CHALLENGE THE CONSTITUTIONALITY OF THE

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REQUIREMENT THAT FLECK JOIN AND FUND THE BAR ASSOCIATION TO PRACTICE  
LAW, AS WELL AS THE BAR'S FAILURE TO PROTECT MEMBERS AGAINST HAVING  
THEIR MONEY USED TO SUBSIDIZE POSITIONS WITH WHICH THEY DISAGREE. WE  
WON A SIGNIFICANT EARLY VICTORY WHEN THE NORTH DAKOTA BAR AGREED TO  
REVISE ITS DUES POLICY TO COMPLY WITH THE CONSTITUTION, SENDING AN  
IMPORTANT SIGNAL THAT STATES CANNOT FORCE PEOPLE TO SUPPORT SPEECH THEY  
DISAGREE WITH IN ORDER TO GET PERMISSION TO PRACTICE THEIR CHOSEN  
PROFESSION. AS PART OF THIS SETTLEMENT, THE INSTITUTE WAS AWARDED  
ATTORNEYS' FEES OF \$25,000. THE FEDERAL DISTRICT COURT IN NORTH DAKOTA  
RULED AGAINST US ON OUR UNDERLYING CONSTITUTIONAL CLAIMS, HOWEVER, AND  
THE CASE HAS BEEN APPEALED TO THE EIGHTH CIRCUIT COURT OF APPEALS. A  
FINAL VICTORY COULD SET IMPORTANT PRECEDENT PROTECTING THE RIGHT TO  
EARN A LIVING IN ANY LAWFUL PROFESSION WITHOUT HAVING TO FIRST PAY TO  
JOIN A TRADE ORGANIZATION.

FLYTENOW V. FAA

THE GOLDWATER INSTITUTE FILED A LAWSUIT ON BEHALF OF FLYTENOW, INC.,  
CHALLENGING THE FEDERAL AVIATION ADMINISTRATION'S (FAA'S) LETTER OF  
INTERPRETATION PROHIBITING PRIVATE PILOTS FROM SHARING PRO-RATED  
OPERATING EXPENSES OF FLIGHTS WITH PASSENGERS IN 2- OR 4-SEATER  
AIRPLANES UNDER FAA'S EXISTING EXPENSE-SHARING RULE. THE LAWSUIT SOUGHT  
TO VINDICATE THE FREE SPEECH RIGHTS OF PILOTS AND PASSENGERS TO  
COMMUNICATE USING FLYTENOW'S WEBSITE, AND CHALLENGED THE LETTER OF  
INTERPRETATION FOR DISCRIMINATING AGAINST FLYTENOW AND PRIVATE PILOTS  
AS AN EQUAL PROTECTION VIOLATION. WE ASKED THE D.C. CIRCUIT TO OVERTURN  
THE FAA'S OPINION LETTER AND PERMIT FLYTENOW TO CONTINUE ITS  
OPERATIONS, BUT THE COURT RULED AGAINST US. WE PETITIONED THE UNITED  
STATES SUPREME COURT TO REVIEW THIS CASE AND IT DECLINED. THE CASE HAS

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NOW CONCLUDED, AND NO ATTORNEY FEES WERE AWARDED.

GOLDWATER INSTITUTE V. HHS

IN AUGUST 2014, THE GOLDWATER INSTITUTE SUBMITTED A FREEDOM OF  
INFORMATION ACT REQUEST TO THE FDA SEEKING RECORDS REGARDING THE  
INTERNAL APPROVAL PROCESS TO MAKE THE UNAPPROVED DRUG ZMAPP AVAILABLE  
TO TWO AMERICAN DOCTORS INFECTED WITH THE EBOLA VIRUS. FOR 18 MONTHS,  
THE FDA DENIED THE REQUEST CLAIMING THAT THE RECORDS MET THE FOIA  
EXEMPTION FOR "TRADE SECRETS," EVEN THOUGH WE ASKED ONLY FOR RECORDS  
ABOUT GOVERNMENT PROCESSES. FOR THE FIRST TIME, THE AGENCY HAS  
DISCLOSED SOME OF THE RECORDS AT ISSUE, WHICH WOULD NOT HAVE OCCURRED  
BUT FOR THE LITIGATION. LITIGATION OVER THE REMAINING RECORDS IS  
ONGOING. OUR INITIAL VICTORY INJECTS TRANSPARENCY INTO THE DRUG  
APPROVAL PROCESS, WHICH WILL HELP ACHIEVE OUR GOALS OF EXPANDING ACCESS  
TO AND LOWERING THE COST OF CARE. LITIGATION IS ONGOING. NO ATTORNEY  
FEES HAVE BEEN AWARDED SO FAR, BUT THEY WERE REQUESTED IN THE INITIAL  
PLEADINGS.

GRIC V. DCS

WE REPRESENT A.D., A MINOR OF NATIVE AMERICAN ANCESTRY, WHO WAS RESCUED  
FROM NEGLECT AND PLACED IN FOSTER CARE. HER FOSTER PARENTS SOUGHT TO  
ADOPT HER. PRIOR TO ADOPTION, THE SUPERIOR COURT TERMINATED THE BIRTH  
PARENTS' RIGHTS. LONG AFTER THAT, AND LONG AFTER ADOPTION WAS  
FINALIZED, THE GILA RIVER INDIAN COMMUNITY FILED A MOTION SEEKING TO  
HAVE THE CASE TRANSFERRED TO ITS TRIBAL COURT. WE OPPOSED THAT ON THE  
GROUNDS THAT THE TRIBE FILED ITS MOTION TOO LATE. THE COURT OF APPEALS  
AGREED WITH US, AND THE CASE IS NOW PENDING BEFORE THE ARIZONA SUPREME  
COURT. NO ATTORNEY FEES ARE AT ISSUE.



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(CONTINUED)

IN RE. C.J. JR.

WE REPRESENT THE GUARDIAN AD LITEM OF AN OHIO CHILD OF NATIVE AMERICAN  
ANCESTRY. ALTHOUGH THE CHILD HAS LIVED HIS WHOLE LIFE IN OHIO, WITH AN  
OHIO FOSTER FAMILY, THE GILA RIVER INDIAN COMMUNITY OBTAINED AN ORDER  
FROM ITS OWN TRIBAL COURT (WITHOUT ANY OF THE PERSONS INVOLVED BEING  
PRESENT IN THAT COURT) DEMANDING THAT THE CHILD BE SENT TO LIVE ON THE  
GILA RIVER RESERVATION WITH INDIVIDUALS HE HAS NEVER EVEN MET. WE  
CONTEND THAT THE GILA RIVER TRIBAL COURT HAS NO JURISDICTION AND THAT  
THE CASE SHOULD REMAIN IN OHIO COURT. FOR COMPLEX PROCEDURAL REASONS,  
THE OHIO COURT OF APPEALS HAS SENT THE CASE BACK TO TRIAL COURT TO  
CLARIFY AN ORDER IT ISSUED. ONCE THAT IS COMPLETED, THE CASE WILL  
RESUME, LIKELY IN OHIO STATE COURT. NO ATTORNEY FEES ARE AT ISSUE.

MCDONALD V. TOWN OF JEROME

TRYING TO EVADE ARIZONA'S PRIVATE PROPERTY RIGHTS PROTECTION ACT, OR  
PROPOSITION 187 (WHICH REQUIRES STATE AND LOCAL GOVERNMENT TO PAY  
PROPERTY OWNERS WHEN REGULATIONS DIMINISH THEIR RIGHTS AND REDUCE THEIR  
PROPERTY VALUES), OFFICIALS IN JEROME, ARIZONA, HAVE ISSUED WHAT THEY  
LABEL A "NEW INTERPRETATION" OF CITY ZONING ORDINANCES, WHICH PROCLAIMS  
THAT SHORT-TERM VACATION RENTALS - PREVIOUSLY PERMITTED IN JEROME -  
HAVE ACTUALLY BEEN ILLEGAL ALL ALONG. THIS SERVES AS AN EXCUSE TO AVOID  
PAYING PROPERTY OWNERS BY CLAIMING THAT NO NEW LAND RESTRICTION HAS  
BEEN ENACTED. WE SUED THE TOWN ON BEHALF OF POOR OWNERS OF VACATION  
RENTALS TO ENSURE THAT GOVERNMENT MAY ONLY IMPOSE NEW LAND-USE  
RESTRICTIONS OPENLY AND TRANSPARENTLY THROUGH THE LEGISLATIVE PROCESS.

NOT BY AD HOC ADMINISTRATIVE INTERPRETATIONS. ON MAY 12, 2016, THE

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GOVERNOR SIGNED GOLDWATER INSTITUTE-AUTHORED LEGISLATION THAT ENDS ALL  
BANS ON SHORT-TERM VACATION RENTALS THROUGHOUT THE STATE, SO THE CASE  
WAS SUCCESSFULLY CONCLUDED. ATTORNEY FEES WERE REQUESTED BUT NOT  
AWARDED BECAUSE THE LAWSUIT WAS RESOLVED THROUGH LEGISLATION.

**MENDEZ V. CHICAGO**

WE REPRESENT - AS CO-COUNSEL WITH THE LIBERTY JUSTICE CENTER IN  
ILLINOIS - SEVERAL PROPERTY OWNERS WHOSE RIGHTS HAVE BEEN VIOLATED BY  
CHICAGO'S ANTI-HOME-SHARING ORDINANCE, WHICH AMONG OTHER THINGS  
REQUIRES PROPERTY OWNERS TO SUBMIT TO SEARCHES OF THEIR PROPERTY AT ANY  
TIME, AND IN ANY MANNER, WITHOUT A WARRANT. AS A DIRECT CONSEQUENCE OF  
OUR LAWSUIT, THE CITY AMENDED PART OF ITS ORDINANCE TO REMOVE ONE  
OBJECTIONABLE PROVISION. THE REST OF OUR CASE IS PROCEEDING, HOWEVER.  
THE CITY HAS MOVED TO DISMISS THE CASE, AND WE ARE AWAITING ITS  
DECISION. WE SEEK ATTORNEY FEES, BUT NONE HAVE BEEN AWARDED.

**PLEA V. CITY OF PHOENIX**

FOLLOWING THE CONTRACT CHANGES THAT ENDED PHOENIX'S PRACTICE OF PENSION  
SPIKING, ALLOWING RETIRING OFFICERS TO ARTIFICIALLY INFLATE THEIR  
RETIREMENT PAY BY CASHING IN UNUSED SICK LEAVE, VACATION TIME, AND  
UNIFORM ALLOWANCES, GOVERNMENT UNIONS SUED THE CITY, CLAIMING THAT THE  
CONTRACT CHANGES THEMSELVES WERE UNLAWFUL. THE UNIONS REQUESTED THAT  
THE COURT RESTORE THE PROVISIONS THAT WERE REMOVED AS A RESULT OF THE  
GOLDWATER INSTITUTE'S INITIAL TAXPAYER LAWSUIT, WRIGHT V. STANTON.  
REPRESENTING TAXPAYERS, WE INTERVENED TO DEFEND OUR WIN IN THAT CASE.  
THE TRIAL COURT THEN RULED AGAINST US, AND THAT WAS UPHELD ON APPEAL.  
THE ARIZONA SUPREME COURT THEN AWARDED ATTORNEY FEES AGAINST THE  
INSTITUTE IN THE AMOUNT OF \$4,286 FOR ONE PARTY AND \$1,846 TO THE

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OTHER, FOR A TOTAL OF \$6,132. THE ARIZONA SUPREME COURT DECLINED TO  
RECONSIDER THAT MOTION. THE CASE IS NOW PENDING IN THE ARIZONA COURT OF  
APPEALS.

PROTECT MY CHECK, INC. V. DILGER

KENTUCKY LAW PROHIBITED CORPORATIONS - BUT NOT UNIONS, LLCs, OR OTHER  
GROUPS - FROM CONTRIBUTING TO POLITICAL PARTIES, COMMITTEES, OR  
CANDIDATES. WE FILED A LAWSUIT TO OVERTURN THIS BAN ON CORPORATIONS  
CONTRIBUTING TO POLITICAL PARTIES, COMMITTEES, AND CANDIDATES. WE  
REPRESENTED PROTECT MY CHECK INC., A 501(C)(4) NONPROFIT DEDICATED TO  
EXPANDING EMPLOYEE RIGHTS THROUGH LEGISLATION THAT PROHIBITS COMPULSORY  
UNIONIZATION. THE FEDERAL DISTRICT COURT ISSUED A PERMANENT INJUNCTION  
HOLDING THAT KENTUCKY'S LOPSIDED CONTRIBUTION BAN VIOLATED THE EQUAL  
PROTECTION CLAUSE OF THE FEDERAL CONSTITUTION. A CONSENT JUDGMENT WAS  
APPROVED WHICH AWARDED THE INSTITUTE AND ITS LOCAL COUNSEL \$32,842 IN  
FEES AND \$886.60 IN COSTS, FOR A TOTAL OF \$33,728.76. OUT OF THAT  
TOTAL, WE PAID LOCAL COUNSEL \$3,737.78 FOR COMBINED FEES AND COSTS.

PULLIAM V. AUSTIN

THIS CASE CHALLENGES THE CONSTITUTIONALITY OF "RELEASE TIME" PROVISIONS  
OF AN AGREEMENT BETWEEN THE CITY OF AUSTIN AND PUBLIC EMPLOYEE UNIONS.  
"RELEASE TIME" PROVIDES THAT TAXPAYER MONEY GOES TO PUBLIC EMPLOYEES,  
WHO SPEND THEIR TIME WORKING ON UNION BUSINESS INSTEAD OF DISCHARGING  
THEIR PUBLIC DUTIES. WE CONTEND THAT THIS VIOLATES THE TEXAS  
CONSTITUTION'S "GIFT CLAUSE" ACTUALLY SEVERAL PROVISIONS THAT FORBID THE  
GOVERNMENT FROM DEVOTING TAXPAYER MONEY TO PRIVATE ENTITIES. WE STATE  
IN THE COMPLAINT THAT WE WILL SEEK ATTORNEY FEES BUT THE CASE HAS NOT  
YET REACHED THAT STAGE.

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RODGERS V. HUCKELBERRY

REPRESENTING TUCSON AREA TAXPAYERS, WE ARE CHALLENGING THE  
CONSTITUTIONALITY OF PIMA COUNTY'S SUBSIDIES TO A PRIVATE TOURISM  
BUSINESS CALLED WORLD VIEW, WHICH PROPOSES TO TAKE PASSENGERS ON RIDES  
IN SPECIALLY-MODIFIED HIGH-ALTITUDE WEATHER BALLOONS. THE COUNTY PUT  
UP GOVERNMENT-OWNED BUILDINGS AS COLLATERAL TO OBTAIN A \$15 MILLION  
LOAN TO FUND CONSTRUCTION OF A MASSIVE COMPANY HEADQUARTERS AND BALLOON  
LAUNCH PAD FOR WORLD VIEW. WE CONTEND THAT THIS VIOLATES THE ARIZONA  
CONSTITUTION'S "GIFT CLAUSE" BY DEVOTING PUBLIC RESOURCES TO PRIVATE  
PURPOSES. THE SUPERIOR COURT RULED IN OUR FAVOR ON ONE CAUSE OF ACTION,  
AND WE HAVE SOUGHT ATTORNEY FEES IN THE AMOUNT OF \$67,176.39. HOWEVER,  
THE COUNTY HAS ASKED THE COURT TO STAY ISSUING ITS JUDGMENT, SO NO  
ORDER HAS YET BEEN ENTERED REGARDING ATTORNEY FEES.

ROZENBLIT V. LYLES

THIS GIFT CLAUSE CASE INVOLVES "RELEASE TIME" IN NEW JERSEY. IT  
CHALLENGES A CONTRACT THAT DEVOTES PUBLIC MONEY TO MEMBERS OF A PUBLIC  
EMPLOYEE UNION TO SPEND THEIR TIME NOT ON PUBLIC BUSINESS BUT ON THE  
UNION'S PRIVATE BUSINESS. WE CONTEND THAT THIS VIOLATES PROVISIONS IN  
THE NEW JERSEY STATE CONSTITUTION THAT FORBID THE GOVERNMENT FROM  
DEVOTING TAXPAYER MONEY TO PRIVATE ORGANIZATIONS. WE STATE IN THE  
COMPLAINT THAT WE WILL SEEK ATTORNEY FEES, BUT HAVE NOT YET REACHED  
THAT STAGE OF THE LITIGATION.

SCHIRES V. CITY OF PEORIA

THIS GIFT CLAUSE CASE CHALLENGES THE CONSTITUTIONALITY OF SUBSIDIES  
GIVEN BY THE CITY OF PEORIA, ARIZONA, TO HUNTINGTON UNIVERSITY, A

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PRIVATE SCHOOL. THE CITY IS DEVOTING \$2.5 MILLION TO THE SCHOOL AND TO  
ITS LANDLORD, ON CONDITION THAT THE SCHOOL ENROLL STUDENTS AND TEACH  
CLASSES. WE CONTEND THAT THIS IS NOT THE SORT OF EXCHANGE THAT THE GIFT  
CLAUSE ALLOWS, AND THAT THE SUBSIDIES ARE BEING ILLEGALLY DEVOTED TO  
PRIVATE ENDS. WE STATE IN THE COMPLAINT THAT WE WILL SEEK ATTORNEY  
FEES, BUT HAVE NOT REACHED THAT STAGE OF THE LITIGATION YET.

SHEARER V. CITY OF SCOTTSDALE

THIS FREE SPEECH CASE CHALLENGES THE CONSTITUTIONALITY OF SCOTTSDALE,  
ARIZONA'S SIGN ORDINANCE. LIKE MANY CITIES, SCOTTSDALE'S RESTRICTIONS  
ON SIGNAGE LIMIT THE SIZES, LOCATIONS, AND APPEARANCE OF SIGNS BASED ON  
WHAT THE SIGNS SAY - WHICH VIOLATES THE FIRST AMENDMENT. WE FILED THE  
CASE IN STATE COURT, BUT THE CITY REMOVED THE CASE TO FEDERAL COURT. IN  
THE COMPLAINT, WE STATE THAT WE WILL SEEK ATTORNEY FEES, BUT HAVE NOT  
REACHED THAT STAGE OF LITIGATION YET. BECAUSE THE CASE HAS BEEN STAYED  
WILED THE CITY CONSIDERS CHANGING ITS SIGN CODE TO BRING IT WITHIN THE  
LIMITS OF THE FIRST AMENDMENT.

SMITH V. CITY OF LONGMONT

ON JUNE 11, 2015, RICH SMITH OF LONGMONT, COLORADO, WAS SENTENCED TO  
ONE YEAR OF PROBATION, A \$365 FINE, AND A 20-DAY SUSPENDED JAIL  
SENTENCE FOR THE "CRIME" OF OPERATING A WINDSHIELD CHIP REPAIR BUSINESS  
IN THE PARKING LOT OF THE HOTEL HE MANAGES. THE CITY OF LONGMONT  
CLAIMED THAT OPERATING A "MOBILE" WINDSHIELD REPAIR SHOP VIOLATES THE  
ZONING CODE, DESPITE ALLOWING OTHER MOBILE BUSINESSES SUCH AS FOOD  
TRUCKS. WE REPRESENTED RICH SMITH IN THE APPEAL OF HIS CRIMINAL  
CONVICTION AND ACHIEVED AN INITIAL VICTORY WHEN THE DISTRICT COURT  
OVERTURNED THE CONVICTION AND ORDERED THE CITY TO EXPLAIN HOW REPAIRING



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WINDSHIELDS IN A COMMERCIAL PARKING LOT IS A CRIME IN THE FIRST PLACE.

THE CITY CHOSE NOT TO PURSUE THE MATTER FURTHER. NO FEES WERE AWARDED.

(CONTINUED)

WOMEN'S SURGICAL CENTER V. REESE

LIKE MANY STATES, GEORGIA IMPOSES A "CERTIFICATE OF NEED" REQUIREMENT  
ON MEDICAL SERVICES, WHICH FORCES DOCTORS WHO WANT TO HIRE ADDITIONAL  
DOCTORS, EXPAND THEIR MEDICAL CENTERS, OR ESTABLISH NEW MEDICAL CENTERS  
TO FIRST SHOW THERE IS A "NEED" FOR NEW SERVICES AND TO OVERCOME  
OBJECTIONS FROM THEIR ESTABLISHED COMPETITORS. REPRESENTING AN OB-GYN  
SURGICAL CENTER THAT WAS DENIED SUCH PERMISSION, WE FILED SUIT IN STATE  
COURT, ON THE GROUNDS THAT THESE OPPRESSIVE CERTIFICATE-OF-NEED LAWS  
VIOLATE THE GEORGIA CONSTITUTION'S "ANTI-MONOPOLY CLAUSE," WHICH  
FORBIDS LAWMAKERS FROM "AUTHORIZING" OR "ENCOURAGING A MONOPOLY" OR  
"LESSENING COMPETITION." WE WON A SIGNIFICANT OPENING-ROUND VICTORY  
WHEN THE TRIAL COURT DENIED THE STATE'S MOTION TO DISMISS. NO ATTORNEY  
FEES HAVE BEEN AWARDED SO FAR (BUT THEY WERE REQUESTED IN THE  
COMPLAINT).

FORM 990, PART VI, SECTION A, LINE 2:

DIRECTORS, RENEE GILTNER AND F. PHILLIPS GILTNER, HAVE A FAMILY  
RELATIONSHIP. PRESIDENT/COO, VICTOR RICHES AND DIRECTOR OF NATIONAL  
LITIGATION AND GENERAL COUNSEL, JONATHAN RICHES HAVE A FAMILY RELATIONSHIP.  
KEY EMPLOYEE, TIMOTHY SANDEFUR AND EVP, CHRISTINA SANDEFUR HAVE A FAMILY  
RELATIONSHIP.

FORM 990, PART VI, SECTION A, LINE 4:

Name of the organization BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH

Employer identification number  
86-0597661

THE MEMBERS OF THE BOARD OF DIRECTORS ARE ALSO MEMBERS OF THE CORPORATION.

FORM 990, PART VI, SECTION A, LINE 7A:

NEW DIRECTORS ARE ELECTED BY THE REMAINING BOARD OF DIRECTORS.

FORM 990, PART VI, SECTION B, LINE 11B:

AN OUTSIDE ACCOUNTING FIRM PREPARES THE FORM 990 AND IT IS REVIEWED BY THE

CEO, CFO, EXECUTIVE VICE PRESIDENT, EXECUTIVE COMMITTEE, AND GENERAL

COUNSEL PRIOR TO SUBMISSION TO THE BOARD OF DIRECTORS FOR REVIEW. THE

MANAGEMENT TEAM ADDRESSES ANY ISSUES RAISED BY THE BOARD BEFORE THE RETURN

IS FILED WITH THE IRS.

FORM 990, PART VI, SECTION B, LINE 12C:

IN CONNECTION WITH ANY ACTUAL OR POSSIBLE CONFLICT OF INTEREST, AN

INTERESTED PERSON MUST DISCLOSE THE EXISTENCE OF THE FINANCIAL INTEREST AND

BE GIVEN THE OPPORTUNITY TO DISCLOSE ALL MATERIAL FACTS TO THE DIRECTORS

AND MEMBERS OF COMMITTEES WITH GOVERNING BOARD DELEGATED POWERS CONSIDERING

THE PROPOSED TRANSACTION OR ARRANGEMENT. ANY DIRECTOR, PRINCIPAL OFFICER,

OR MEMBER OF A COMMITTEE WITH GOVERNING BOARD DELEGATED POWERS, WHO HAS A

DIRECT OR INDIRECT FINANCIAL INTEREST IS AN INTERESTED PERSON. AFTER

DISCLOSURE OF THE FINANCIAL INTEREST AND ALL MATERIAL FACTS, AND AFTER ANY

DISCUSSION WITH THE INTERESTED PERSON, HE/SHE SHALL LEAVE THE GOVERNING

BOARD OR COMMITTEE MEETING WHILE THE DETERMINATION OF THE CONFLICT OF

INTEREST IS DISCUSSED AND VOTED UPON. THE REMAINING BOARD OR COMMITTEE

MEMBERS SHALL DISCUSS IF A CONFLICT OF INTEREST EXISTS.

THE ORGANIZATION'S CONFLICT OF INTEREST POLICY REQUIRES ANNUAL DISCLOSURE

FROM ALL MEMBERS OF THE BOARD OF DIRECTORS AND OFFICERS. A STATEMENT IS

FILED BY EACH BOARD MEMBER REQUIRING THE DISCLOSURE OF ANY CONFLICTS AND TO

Name of the organization BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH

Employer identification number  
86-0597661

STATE THE RESOLUTION OF THAT CONFLICT, IF ANY.

FORM 990, PART VI, SECTION B, LINE 15:

THE ORGANIZATION RETAINED AN OUTSIDE FIRM TO CONDUCT A COMPENSATION STUDY  
FOR THE CEO AND PRESIDENT AND COO. THE RESULTS OF THE STUDY WERE REVIEWED  
BY THE DIRECTORS IN SETTING COMPENSATION. THE EXECUTIVE COMMITTEE REVIEWED  
COMPENSATION FOR OTHER OFFICERS AND KEY EMPLOYEES BASED ON A REVIEW OF  
SIMILAR ORGANIZATIONS (USING FORM 990). ALL COMPENSATION DECISIONS ARE  
DOCUMENTED IN THE BOARD MINUTES.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:

AL, AZ, AR, CA, CO, FL, HI, IL, KY, ME, MI, MS, NJ, NY, OR, PA, RI, SC, VA, WV, WI, AK, GA, KS, MD,  
MA, NH, OH, OK, UT, CT, MN, NM, ND, TN, DC, DE, IA, ID, IN, LA, MO, MT, NC, NE, NV, SD, TX, VT, WA,  
WY

FORM 990, PART VI, SECTION C, LINE 18:

THE ORGANIZATION'S FINANCIAL STATEMENTS AND FORM 990 ARE AVAILABLE ON THE  
WEBSITE. THE GOVERNING DOCUMENTS AND CONFLICT OF INTEREST POLICY ARE  
AVAILABLE UPON REQUEST.

FORM 990, PART VI, SECTION C, LINE 19:

THE FINANCIAL STATEMENTS ARE AVAILABLE TO THE PUBLIC UPON REQUEST. THE  
ORGANIZATION DOES NOT MAKE ITS GOVERNING DOCUMENTS AND CONFLICT OF INTEREST  
POLICY AVAILABLE TO THE PUBLIC.

Department of the Treasury  
Internal Revenue Service

Name of the organization

BARRY GOLDWATER INSTITUTE FOR  
PUBLIC POLICY RESEARCH

Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

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Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**Open to Public Inspection**

PUBLIC POLICY RESEARCH

Employer identification number  
86-0597661

**Part I**

[illegible]

Part II	Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

[illegible]

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2016

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.		86-0597661	Page 2

[illegible]

**Part IV**

**Identification of Related Organizations Taxable as a Corporation or Trust.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

[illegible]



Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity

b Gift, grant, or capital contribution to related organization(s)

c Gift, grant, or capital contribution from related organization(s)

d Loans or loan guarantees to or for related organization(s)

e Loans or loan guarantees by related organization(s)

f Dividends from related organization(s)

g Sale of assets to related organization(s)

h Purchase of assets from related organization(s)

i Exchange of assets with related organization(s)

j Lease of facilities, equipment, or other assets to related organization(s)

k Lease of facilities, equipment, or other assets from related organization(s)

l Performance of services or membership or fundraising solicitations for related organization(s)

m Performance of services or membership or fundraising solicitations by related organization(s)

n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o Sharing of paid employees with related organization(s)

p Reimbursement paid to related organization(s) for expenses

q Reimbursement paid by related organization(s) for expenses

r Other transfer of cash or property to related organization(s)

s Other transfer of cash or property from related organization(s)

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

to define

(c)

[illegible]

Provide additional information for responses to questions on Schedule R. See instructions.

# Application for Automatic Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury  
Internal Revenue Service

► **File a separate application for each return.**

► **Information about Form 8868 and its instructions is at** [www.irs.gov/form8868](http://www.irs.gov/form8868).

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile), click on Charities & Non-Profits, and click on *e-file for Charities and Non-Profits*.

## Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions. BARRY GOLDWATER INSTITUTE FOR PUBLIC POLICY RESEARCH	Employer identification number (EIN) or  86-0597661
	Number, street, and room or suite no. If a P.O. box, see instructions. 500 EAST CORONADO ROAD	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. PHOENIX, AZ 85004-1543	

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

ROGER ZETAH

• The books are in the care of ► 500 E CORONADO ROAD - PHOENIX, AZ 85004-1543  
Telephone No. ► 602-462-5000 Fax No. ► 602-256-7045

• If the organization does not have an office or place of business in the United States, check this box ☐  
• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 6-month extension of time until NOVEMBER 15, 2017, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

► ☒ calendar year 2016 or

► ☐ tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

**Caution:** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2017)